

03-23-01
EL 465855336Please type a plus sign (+) inside this box → ☒PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. MI22-1663

First Inventor or Application Identifier Scott E. Moore et al.

Title See 1 in Addendum

Express Mail Label No. EL465855336US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 84]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 20]
1
4. Oath or Declaration [Total Pages 5]
1
- a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of
(when there is an assignee) ☐ Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 ☐ Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity ☐ Statement filed in prior application
Statement(s) ☐ Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Other: Check for \$1,706.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/517,127
Prior application information: Examiner T. Eley Group / Art Unit: 3723

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label:

021567

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

James D. Shaurette

Registration No. (Attorney/Agent)

39,833

Signature

Date

3/20/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03/21/01
 Jc962 U.S. PTO

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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$1,706.00)

Complete if Known

Application Number	Unknown
Filing Date	Filed Herewith
First Named Inventor	Scott E. Moore et al.
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	MI22-1663

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 23-0925
 Deposit Account Name Wells, St. John, Roberts

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee Code	Small Fee Code	Fee Description	Fee Paid
101	760	201 380	Utility filing fee	710.00
106	310	206 155	Design filing fee	
107	480	207 240	Plant filing fee	
108	760	208 380	Reissue filing fee	
114	150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$710.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
62	-20** = 42	x 18.00	= 756.00
6	-3** = 3	x 80.00	= 240.00
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee Code	Small Fee Code	Fee Description
103	18	203 9	Claims in excess of 20
102	78	202 39	Independent claims in excess of 3
104	260	204 130	Multiple dependent claim, if not paid
109	78	209 39	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$996.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description	Fee Paid
105	130	205 65		Surcharge - late filing fee or oath	0.00
127	50	227 25		Surcharge - late provisional filing fee or cover sheet.	0.00
139	130	139 130		Non-English specification	0.00
147	2,520	147 2,520		For filing a request for reexamination	0.00
112	920*	112 920*		Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113 1,840*		Requesting publication of SIR after Examiner action	0.00
115	110	215 55		Extension for reply within first month	0.00
116	380	216 190		Extension for reply within second month	0.00
117	870	217 435		Extension for reply within third month	0.00
118	1,360	218 680		Extension for reply within fourth month	0.00
128	1,850	228 925		Extension for reply within fifth month	0.00
119	300	219 150		Notice of Appeal	0.00
120	300	220 150		Filing a brief in support of an appeal	0.00
121	260	221 130		Request for oral hearing	0.00
138	1,510	138 1,510		Petition to institute a public use proceeding	0.00
140	110	240 55		Petition to revive - unavoidable	0.00
141	1,210	241 605		Petition to revive - unintentional	0.00
142	1,210	242 605		Utility issue fee (or reissue)	0.00
143	430	243 215		Design issue fee	0.00
144	580	244 290		Plant issue fee	0.00
122	130	122 130		Petitions to the Commissioner	0.00
123	50	123 50		Petitions related to provisional applications	0.00
126	240	126 240		Submission of Information Disclosure Stmt	0.00
581	40	581 40		Recording each patent assignment per property (times number of properties)	0.00
146	760	246 380		Filing a submission after final rejection (37 CFR 1.129(a))	0.00
149	760	249 380		For each additional invention to be examined (37 CFR 1.129(b))	0.00
Other fee (specify) _____					0.00
Other fee (specify) _____					0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

SUBMITTED BY

Typed or Printed Name James D. Shaurette

Signature [Signature]

Date 3/20/01

Complete (if applicable)

Reg. Number 39,833

Deposit Account User ID 23-0925

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Addendum

1. Semiconductor Workpiece Processing Methods, A Method of Preparing Semiconductor Workpiece Process Fluid, and A Method of Delivering Semiconductor Workpiece Process Fluid to a Semiconductor Processor